



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Kraus et al. Examiner:  
Serial No.: 10/730,396 Date: November 2, 2004  
Filed: December 8, 2004 Docket: 298-221  
For: METHOD OF OPERATING MULTIPLE PARALLEL-CONNECTED  
PULSE CONTROLLED INVERTERS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
**MAIL STOP MISSING PARTS**

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED**

In response to the Notice to File Missing Parts of Application - Filing Date Granted, dated September 2, 2004 for the above-identified patent application, Applicant submits the following:

an executed Combined Declaration and Power of Attorney by the inventors;  
a check for \$130.00 to cover the Missing Parts Fee;  
a copy of the Notice to File Missing Parts of application;  
an executed Assignment together with a Recordation Form Cover Sheet  
and the \$ 40.00 fee.;

**CERTIFICATE OF MAILING 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope, addressed to the Commissioner for Patents, P.O. Box 1450-**MAIL STOP MISSING PARTS**, Alexandria, VA 22313-1450 on November 2, 2004.

  
George M. Kaplan

a verified English translation of the application in accordance with 37 C.F.R. § 1.52(d) (l);

3 sheets of drawings with English translations thereon;

a preliminary amendment;

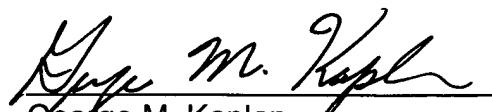
transmittal sheet in triplicate;

Certified copies of priority German applications 102 57 210.0 and 103 13 969.1; and

Check for \$130.00 for filing the verified English translation.

It is believed that no other fees will be incurred as a result of this response. However, charge any deficiency or credit any overpayment of such fees to Deposit Account No. 04-1121. Also, in the event that any extensions of time are required for this response, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,



George M. Kaplan  
Registration No. 28,375  
Attorney for Applicant

**DILWORTH & BARRESE, LLP**  
333 Earle Ovington Blvd.  
Uniondale, NY 11553  
(516) 288-8484

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Kraus et al.

Examiner:

Serial No.: 10/730,396

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Alexandria, VA 22313-1450

**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

- Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate	Addit. Fee
TOTAL CLAIMS*	20	20	0	x 9 =	\$0	x 18 =	\$0
INDEPENDENT CLAIMS	1	3	0	x 44 =	\$0	x 88 =	\$0
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				150		300	\$0

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

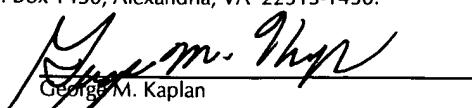
\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: November 2, 2004

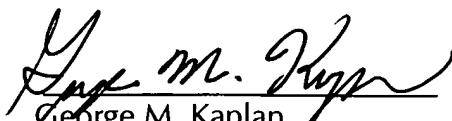
  
George M. Kaplan

Please charge Deposit Account No. 04-1121 in the amount of \$\_\_\_\_\_. Two (2) copies of this sheet are enclosed.

A check in the amount of \$\_\_\_\_ is enclosed.

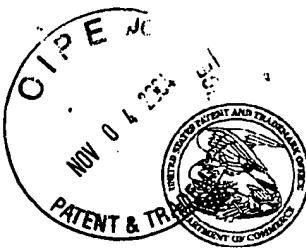
Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 04-1121. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121 therefor. A DUPLICATE OF THIS SHEET IS ENCLOSED.

Respectfully submitted,



George M. Kaplan  
Reg No. 28,375  
Attorney for Applicant(s)

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/730,396	12/08/2003	Ludwig Kraus	298-221

Rocco S. Barrese Esq.  
DILWORTH & BARRESE, LLP  
333 Earle Ovington Blvd.  
Uniondale, NY 11553

CONFIRMATION NO. 4589

## FORMALITIES LETTER



\*OC000000013709982\*

Date Mailed: 09/02/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/05/2004 WABDELR1 00000104 10730396

FILED UNDER 37 CFR 1.53(b)

01 FC:1051 130.00 OP  
02 FC:1053 130.00 OP

*Filing Date Granted*

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) all.
  - More than one figure is present and each figure is not labeled "Fig." with a consecutive

Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) all.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$260** for a Large Entity

- **\$130** Late oath or declaration Surcharge.
- **\$130** for English translation surcharge required.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

*RASA/JPS*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE